

**General information about company**

Scrip code	539151
Name of the company	ARFIN INDIA LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2944257			2944257	72.68	2944257		2944257	72.68
(B)	Public	960	1106900			1106900	27.32	1106900		1106900	27.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>970</b>	<b>4051157</b>			<b>4051157</b>	<b>100</b>	<b>4051157</b>		<b>4051157</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				72.68	2565757	87.14			2944257
(B)	Public				27.32	333400	30.12			995570
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	2899157	71.56			3939827

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	10	2944257			2944257	72.68	2944257		2944257	72.68
Sub-Total (A) (1)		10	2944257			2944257	72.68	2944257		2944257	72.68
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	2944257			2944257	72.68	2944257		2944257	72.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	814	368488			368488	9.1	368488		368488	9.1
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	15	606399			606399	14.97	606399		606399	14.97
(e)	Any Other (specify)	131	132013			132013	3.26	132013		132013	3.26
Sub-Total (B) (3)		960	1106900			1106900	27.32	1106900		1106900	27.32
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		960	1106900			1106900	27.32	1106900		1106900	27.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		970	4051157			4051157	100	4051157		4051157	100
Total (A+B+C )		970	4051157			4051157	100	4051157		4051157	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				72.68	2565757	87.14			2944257
Sub-Total (A) (1)				72.68	2565757	87.14			2944257
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				72.68	2565757	87.14			2944257
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				9.1	0	0			280358
(a(ii))				14.97	283400	46.73			606399
(e)				3.26	50000	37.88			108813
Sub-Total (B) (3)				27.32	333400	30.12			995570
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				27.32	333400	30.12			995570
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	2899157	71.56			3939827
Total (A+B+C )				100	2899157	71.56			3939827

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Mahendrakumar Rikhavchand Shah	Jatin Mahendrakumar Shah	Pushaben Mahendrakumar Shah	Rani Jatin Shah	Jatin Mahendra Shah (HUF)	Pooja Mahendrabhai Shah	Krish Jatin Shah
PAN (II)	AFDPS6796P	ASMPS1116P	AEAPS1693F	ACLPN2862L	AAEHJ8387H	BRIPS0792P	EZWPS2766E
No. of fully paid up equity shares held (IV)	682400	608900	597700	503900	180500	135500	100000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	682400	608900	597700	503900	180500	135500	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.84	15.03	14.75	12.44	4.46	3.34	2.47
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	682400	608900	597700	503900	180500	135500	100000
Class eg:y							
Total	682400	608900	597700	503900	180500	135500	100000
Total as a % of Total Voting rights	16.84	15.03	14.75	12.44	4.46	3.34	2.47
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.84	15.03	14.75	12.44	4.46	3.34	2.47
Number of Locked in shares (XII)							
No. (a)	682400	608900	597700	503900	0	0	100000
As a % of total Shares held (b)	100	100	100	100	0	0	100
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	682400	608900	597700	503900	180500	135500	100000

Reason for not providing PAN							
Reason for not providing PAN							



<b>Individuals/Hindu undivided Family</b>				
Serial No.	8	9	10	
Name of the Shareholders (I)	Mahendrakumar Rikhavchand Huf	Khushbu Mahendrabhai Shah	Khwaish Jatin Shah	<a href="#">Click here to go back</a>
PAN (II)	AACHM0604A	BRIPS0875D	FSTPS5996F	Total
No. of fully paid up equity shares held (IV)	62500	47857	25000	2944257
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	62500	47857	25000	2944257
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.54	1.18	0.62	72.68
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	62500	47857	25000	2944257
Class eg:y				
Total	62500	47857	25000	2944257
Total as a % of Total Voting rights	1.54	1.18	0.62	72.68
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.54	1.18	0.62	72.68
<b>Number of Locked in shares (XII)</b>				
No. (a)	0	47857	25000	2565757
As a % of total Shares held (b)	0	100	100	87.14
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	62500	47857	25000	2944257
Reason for not providing PAN				

Reason for not providing PAN				
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<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Babita R Shah	Gopal Shantilal Shah	Khatod Vartika Vijendra	Parasmal Ghewarchand Nahata	Shantilal Tulsiram Khator	Sumitra Lalitkumar Shah	Taruben Lalitkumar Gandhi
PAN (II)	AAHPB0534B	AKTPS8859A	AMGPK8176P	AAWPN0987D	ABGPK5166L	AFOPS9344E	AFKPG9577P
No. of fully paid up equity shares held (IV)	41800	116568	50000	50000	50000	41600	75000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41800	116568	50000	50000	50000	41600	75000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	2.88	1.23	1.23	1.23	1.03	1.85
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	41800	116568	50000	50000	50000	41600	75000
Class eg:y							
Total	41800	116568	50000	50000	50000	41600	75000
Total as a % of Total Voting rights	1.03	2.88	1.23	1.23	1.23	1.03	1.85
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.03	2.88	1.23	1.23	1.23	1.03	1.85
<b>Number of Locked in shares (XII)</b>							
No. (a)	41800	50000	50000	0	50000	41600	0
As a % of total Shares held (b)	100	42.89	100	0	100	100	0
Number of equity shares held in dematerialized form (XIV)	41800	116568	50000	50000	50000	41600	75000
<b>Reason for not providing PAN</b>							
Reason for not providing PAN							

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	424968
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	424968
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.49
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	424968
Class eg:y	
Total	424968
Total as a % of Total Voting rights	10.49
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	10.49
<b>Number of Locked in shares (XII)</b>	
No. (a)	233400
As a % of total Shares held (b)	54.92
Number of equity shares held in dematerialized form (XIV)	424968
<b>Reason for not providing PAN</b>	
Reason for not providing PAN	

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	HUF	NRI – Repat	NRI – Non- Repat	Clearing Members	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						<a href="#">Click here to go back</a>
PAN (II)						Total
No. of the Shareholders (I)	43	31	6	26	25	131
No. of fully paid up equity shares held (IV)	72739	33855	812	15459	9148	132013
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	72739	33855	812	15459	9148	132013
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.8	0.84	0.02	0.38	0.23	3.27
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	72739	33855	812	15459	9148	132013
Class eg:y						
Total	72739	33855	812	15459	9148	132013
Total as a % of Total Voting rights	1.8	0.84	0.02	0.38	0.23	3.27
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.8	0.84	0.02	0.38	0.23	3.27
Number of Locked in shares (XII)						
No. (a)	50000	0	0	0	0	50000
As a % of total Shares held (b)	68.74	0	0	0	0	37.88
Number of equity shares held in dematerialized form (XIV)	72739	10655	812	15459	9148	108813

Reason for not providing PAN						
Reason for not providing PAN						

