

| General information about company | |
|--|-----------------------|
| BSE Scrip code | 539151 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE784R01015 |
| Name of the company | ARFIN INDIA LIMITED |
| Whether company is SME | Yes |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2018 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | Yes | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 10 | 9814755 | | | 9814755 | 74.11 | 9814755 | | 9814755 | 74.11 |
| (B) | Public | 1758 | 3428916 | | | 3428916 | 25.89 | 3428916 | | 3428916 | 25.89 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1768 | 13243671 | | | 13243671 | 100 | 13243671 | | 13243671 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 74.11 | 7697271 | 78.43 | | | 9814755 |
| (B) | Public | | | | 25.89 | 100200 | 2.92 | | | 3153226 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | 7797471 | 58.88 | | | 12967981 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 10 | 9814755 | | | 9814755 | 74.11 | 9814755 | | 9814755 | 74.11 |
| Sub-Total (A)(1) | | 10 | 9814755 | | | 9814755 | 74.11 | 9814755 | | 9814755 | 74.11 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 10 | 9814755 | | | 9814755 | 74.11 | 9814755 | | 9814755 | 74.11 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1554 | 734864 | | | 734864 | 5.55 | 734864 | | 734864 | 5.55 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 30 | 2269255 | | | 2269255 | 17.13 | 2269255 | | 2269255 | 17.13 |
| (e) | Any Other (specify) | 174 | 424797 | | | 424797 | 3.21 | 424797 | | 424797 | 3.21 |
| Sub-Total (B)(3) | | 1758 | 3428916 | | | 3428916 | 25.89 | 3428916 | | 3428916 | 25.89 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 1758 | 3428916 | | | 3428916 | 25.89 | 3428916 | | 3428916 | 25.89 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 1768 | 13243671 | | | 13243671 | 100 | 13243671 | | 13243671 | 100 |
| Total (A+B+C) | | 1768 | 13243671 | | | 13243671 | 100 | 13243671 | | 13243671 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 74.11 | 7697271 | 78.43 | | | 9814755 |
| Sub-Total (A) (1) | | | | 74.11 | 7697271 | 78.43 | | | 9814755 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.11 | 7697271 | 78.43 | | | 9814755 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 5.55 | 0 | 0 | | | 618874 |
| (a(ii)) | | | | 17.13 | 100200 | 4.42 | | | 2162955 |
| (e) | | | | 3.21 | 0 | 0 | | | 371397 |
| Sub-Total (B) (3) | | | | 25.89 | 100200 | 2.92 | | | 3153226 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 25.89 | 100200 | 2.92 | | | 3153226 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 7797471 | 58.88 | | | 12967981 |
| Total (A+B+C) | | | | 100 | 7797471 | 58.88 | | | 12967981 |

Individuals/Hindu undivided Family

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|--------------------------------|--------------------------|------------------------------|-----------------|------------|---------------------|------------------|
| Name of the Shareholders (I) | MAHENDRAKUMAR RIKHAVCHAND SHAH | JATIN MAHENDRAKUMAR SHAH | PUSHPABEN MAHENDRAKUMAR SHAH | RANI JATIN SHAH | POOJA SHAH | JATIN MAHENDRA SHAH | KRISH JATIN SHAH |
| PAN (II) | AFDPS6796P | ASMPS1116P | AEAPS1693F | ACLPN2862L | BRIPS0792P | AAEHJ8387H | EZWPS2766E |
| No. of fully paid up equity shares held (IV) | 2138188 | 2096628 | 1800092 | 1777764 | 668700 | 541500 | 300000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2138188 | 2096628 | 1800092 | 1777764 | 668700 | 541500 | 300000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 16.14 | 15.83 | 13.59 | 13.42 | 5.05 | 4.09 | 2.27 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 2138188 | 2096628 | 1800092 | 1777764 | 668700 | 541500 | 300000 |
| Class eg:y | | | | | | | |
| Total | 2138188 | 2096628 | 1800092 | 1777764 | 668700 | 541500 | 300000 |
| Total as a % of Total Voting rights | 16.14 | 15.83 | 13.59 | 13.42 | 5.05 | 4.09 | 2.27 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 16.14 | 15.83 | 13.59 | 13.42 | 5.05 | 4.09 | 2.27 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | 2047200 | 1826700 | 1793100 | 1511700 | 0 | 0 | 300000 |
| As a % of total Shares held (b) | 95.74 | 87.13 | 99.61 | 85.03 | 0 | 0 | 100 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |

| Individuals/Hindu undivided Family | | | | |
|--|-------------------------------|--------------|--------------------|---------------------------------------|
| Serial No. | 8 | 9 | 10 | |
| Name of the Shareholders (I) | MAHENDRAKUMAR RIKHAVCHAND HUF | KHUSHBU SHAH | KHWAISH JATIN SHAH | Click here to go back |
| PAN (II) | AACHM0604A | BRIPS0875D | FSTPS5996F | Total |
| No. of fully paid up equity shares held (IV) | 271128 | 145755 | 75000 | 9814755 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 271128 | 145755 | 75000 | 9814755 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.05 | 1.1 | 0.57 | 74.11 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg:X | 271128 | 145755 | 75000 | 9814755 |
| Class eg:y | | | | |
| Total | 271128 | 145755 | 75000 | 9814755 |
| Total as a % of Total Voting rights | 2.05 | 1.1 | 0.57 | 74.11 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 2.05 | 1.1 | 0.57 | 74.11 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | 0 | 143571 | 75000 | 7697271 |
| As a % of total Shares held (b) | 0 | 98.5 | 100 | 78.43 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 271128 | 145755 | 75000 | 9814755 |
| Reason for not providing PAN | | | | |

| | | | | |
|------------------------------|----------|----------|----------|--|
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter | Promoter | Promoter | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | |
|--|-----------------------------|-------------------------|---------------------------|----------------------------|----------------------|-----------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | PARASMAL GHEWARCHAND NAHATA | KHATOD VARTIKA VIJENDRA | SHANTILAL TULSIRAM KHATOR | TUSHAR RAMESHCHANDRA MEHTA | GOPAL SHANTILAL SHAH | Click here to go back |
| PAN (II) | AAWPN0987D | AMGPK8176P | ABGPK5166L | AEBPM7277C | AKTPS8859A | Total |
| No. of fully paid up equity shares held (IV) | 150000 | 150000 | 150000 | 217068 | 150000 | 817068 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 150000 | 150000 | 150000 | 217068 | 150000 | 817068 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.13 | 1.13 | 1.13 | 1.64 | 1.13 | 6.17 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 150000 | 150000 | 150000 | 217068 | 150000 | 817068 |
| Class eg:y | | | | | | |
| Total | 150000 | 150000 | 150000 | 217068 | 150000 | 817068 |
| Total as a % of Total Voting rights | 1.13 | 1.13 | 1.13 | 1.64 | 1.13 | 6.17 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.13 | 1.13 | 1.13 | 1.64 | 1.13 | 6.17 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | 0 | 0 | 0 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 0 | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 150000 | 150000 | 150000 | 217068 | 150000 | 817068 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

| Any Other (specify) | | | | |
|--|------------------|---------------------------|----------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Non-Resident Indian (NRI) | HUF | |
| Category / More than 1 percentage | Category | Category | Category | |
| Name of the Shareholders (I) | | | | Click here to go back |
| PAN (II) | | | | Total |
| No. of the Shareholders (I) | 52 | 41 | 81 | 174 |
| No. of fully paid up equity shares held (IV) | 47427 | 88001 | 289369 | 424797 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 47427 | 88001 | 289369 | 424797 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.36 | 0.66 | 2.18 | 3.2 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 47427 | 88001 | 289369 | 424797 |
| Class eg:y | | | | |
| Total | 47427 | 88001 | 289369 | 424797 |
| Total as a % of Total Voting rights | 0.36 | 0.66 | 2.18 | 3.2 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.36 | 0.66 | 2.18 | 3.2 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | 0 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 47427 | 34601 | 289369 | 371397 |

| Reason for not providing PAN | | | | |
|------------------------------|--|--|--|--|
| Reason for not providing PAN | | | | |

